

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian Kenneth Shepherd

Appl. No. 10/615,796

Filed: July 10, 2003

For: **Methods and Apparatus Relating
to the Formulation and Trading of
Investment Contracts**

Confirmation No.: 1916

Art Unit: 3624

Examiner: To be assigned

Atty. Docket: 1033.0180002

**Reply to Notice to File Corrected Application Papers and
Supplemental Preliminary Amendment Under 37 C.F.R. § 1.115**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In advance of prosecution, and in response to the Notice to File Corrected Application Papers mailed October 6, 2003, Applicants submit the following Reply, supplemental amendment, and remarks. This Preliminary Amendment is provided in the following format:

- (A) Each section begins on a separate sheet;
- (B) Starting on a separate sheet, amendments to the specification by presenting replacement paragraphs marked up to show changes made;
- (C) Starting on a separate sheet, a complete listing of all of the claims:
 - in ascending order;
 - with status identifiers; and
 - with markings in the currently amended claims;
- (D) Starting on a separate sheet, the Remarks.



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It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.